

UNIT TRUST OF INDIA INVESTMENT ADVISORY SERVICES LIMITED

Sr no.	Name of the issuer/Company	Nature of Default	Action taken in terms of			
			Trust Deed Provisions	Regulations	Investor Grievances	Litigation
1	Unitech Limited - NCDs of Rs.550 crs unlisted on Pvt. Placement basis.	<p>1. Irregular in payment of interest and redemption.</p> <p>2. Greater Noida Authority cancelled the permission to create security on the Company's Noida property due to non-payment of lease rental. This is an additional security.</p>	<p>We are continuously following up with LIC of India, the sole debentureholder, for resolving the outstanding issues. The company claims that the matter of restoration of security has been resolved. DT demanded that specific letter should be obtained from Greater Noida Authority. In pursuance of this, DT along with company official met officials of Greater Noida Authority and sought their specific letter for restoration of security. However, the same is not received till date. The outstanding NCDs as on 20th February, 2014 is as follows - i) Principal - Rs.239.00 crs; and ii) overdues (inclusive of principal and interest) - Rs.81.56 crs. We are regularly following up with the company for clearing the outstanding dues to the debentureholder. Further, DT has requested debentureholder, if any action is to be taken by the DT and the response from the debentureholder is awaited.</p>			
2	Diamond Power Infrastructure Ltd. - NCDs of Rs.100 crs - listed on Pvt. Placement basis.	<p>Non submission of Half yearly report to stock exchange and quarterly report to Debenture Trustee for the period ended September, 2013</p>	<p>DT sought the half yearly communication to Mumbai Stock Exchange and the quarterly report from the company and sent several reminders thereafter. Further, DT vide its letter dated 08/01/2014 had sought the Debentureholders advice for taking action for non compliance. The company has since submitted the half yearly communication to the stock exchange for the period ended 30th September, 2013 and the periodical report for the quarter ended 30th September, 2013.</p>			

